

Appendix A

| | <u>DOCUMENT</u> | <u>DATE</u> |
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| 1. | TL Diamond & Company, Inc. FY 1998 Federal Income Tax Return for an S Corporation | By letter of March 13, 2003 |
| 2. | TL Diamond & Company, Inc. FY 1999 Federal Income Tax Return for an S Corporation | By letter of March 13, 2003 |
| 3. | TL Diamond & Company, Inc. FY 2004 Federal Income Tax Return | By letter of November 9, 2005 |
| 4. | TL Diamond & Company, Inc. Un-Audited Balance Sheet as of July 31, 2005 | By letter of November 9, 2005 |
| 5. | TL Diamond & Company, Inc. Un-Audited Statement of Income and Expenses For the Nine Months Ended July 31, 2005 | By letter of November 9, 2005 |
| 6. | Letter to DOJ re Potential Ability to Pay Settlement | January 13, 2006 |
| 7. | TL Diamond & Company, Inc. FY 2001 Federal Income Tax Return | By letter of January 13, 2006 |
| 8. | TL Diamond & Company, Inc. FY 2001 Financial Statements with Supplementary Information | By letter of January 13, 2006 |
| 9. | Letter setting forth 2002 royalty agreement between Zinc Nacional S.A. and T.L. Diamond & Co., Inc | By letter of January 13, 2006 |
| 10. | Letter setting forth revised 2005 royalty agreement between Zinc Nacional S.A. and T.L. Diamond & Co., Inc | By letter of January 13, 2006 |
| 11. | Letter to U.S. DOJ regarding ability to pay | February 17, 2006 |
| 12. | Financial Statement of Corporate Debtor – DOJ form OBD-500C | February 17, 2006 |
| 13. | TL Diamond & Company, Inc. Financial Statements-Income Tax Basis for Year Ended October 31, 2002 | By letter of February 17, 2006 |
| 14. | TL Diamond & Company, Inc. Financial Statement-Income Tax Basis for Year Ended October 31, 2003 | By letter of February 17, 2006 |
| 15. | TL Diamond & Company, Inc. Financial Statement-Income Tax Basis for Year Ended October 31, 2004 | By letter of February 17, 2006 |
| 16. | TL Diamond & Company, Inc. Financial Statement-Income Tax Basis for Year Ended October 31, 2005 | By letter of February 17, 2006 |
| 17. | TL Diamond & Company, Inc. FY 2003 Federal Income Tax Return | By letter of February 17, 2006 |
| 18. | TL Diamond & Company, Inc. FY 2002 Federal Income Tax Return | By letter of February 17, 2006 |
| 19. | TL Diamond & Company, Inc. FY 2000 Federal Income Tax Return | By letter of February 17, 2006 |
| 20. | Transmission Verification Report memorializing August 2004 \$200,000 wire transfer for loan from Theodore L. Diamond to T.L. Diamond & Company, Inc. | By letter of February 17, 2006 |

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| 21. | Transmission Verification Report memorializing October 2004 \$50,000 wire transfer for loan from Theodore L. Diamond to T.L. Diamond & Company, Inc. | By letter of February 17, 2006 |
| 22. | Confidential Letter re Potential Ability-to-Pay Settlement | March 15, 2006 |
| 23. | Confidential Letter Re: Potential Ability-to-Pay Settlement; discusses TLD's income, assets, and operating expenses within the context of TLD's on-going financial liabilities | May 5, 2006 |
| 24. | TL Diamond & Company, Inc. initial response to EPA's Request for Information dated May 24, 2006 with business confidentiality claim | July 5, 2006 |
| 25. | T.L. Diamond & Company, Inc. FY 1986 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 26. | T.L. Diamond & Company, Inc. FY 1987 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 27. | T.L. Diamond & Company, Inc. FY 1988 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 28. | T.L. Diamond & Company, Inc. FY 1989 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 29. | T.L. Diamond & Company, Inc. FY 1990 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 30. | T.L. Diamond & Company, Inc. FY 1991 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 31. | T.L. Diamond & Company, Inc. FY 1992 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 32. | T.L. Diamond & Company, Inc. FY 1993 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 33. | T.L. Diamond & Company, Inc. FY 1994 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 34. | T.L. Diamond & Company, Inc. FY 1995 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 35. | T.L. Diamond & Company, Inc. FY 1996 Federal Income Tax Return | With July 5, 2006 response to Request for Information |
| 36. | T.L. Diamond & Company, Inc. FY 1997 Federal Income Tax Return | With July 5, 2006 |

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| | | response to Request for Information |
| 37. | T.L. Diamond & Company, Inc. FY 1983 Financial Statement | With July 5, 2006 response to Request for Information |
| 38. | T.L. Diamond & Company, Inc. FY 1984 Financial Statement | With July 5, 2006 response to Request for Information |
| 39. | T.L. Diamond & Company, Inc. FY 1985 Financial Statement | With July 5, 2006 response to Request for Information |
| 40. | T.L. Diamond & Company, Inc. FY 1986 Financial Statement | With July 5, 2006 response to Request for Information |
| 41. | T.L. Diamond & Company, Inc. FY 1989 Financial Statement | With July 5, 2006 response to Request for Information |
| 42. | T.L. Diamond & Company, Inc. FY 1990 Financial Statement | With July 5, 2006 response to Request for Information |
| 43. | T.L. Diamond & Company, Inc. FY 1991 Financial Statement | With July 5, 2006 response to Request for Information |
| 44. | T.L. Diamond & Company, Inc. FY 1992 Financial Statement | With July 5, 2006 response to Request for Information |
| 45. | T.L. Diamond & Company, Inc. FY 1993 Financial Statement | With July 5, 2006 response to Request for Information |
| 46. | T.L. Diamond & Company, Inc. FY 1994 Financial Statement | With July 5, 2006 response to Request for Information |
| 47. | T.L. Diamond & Company, Inc. FY 1995 Financial Statement | With July 5, 2006 response to Request for Information |
| 48. | T.L. Diamond & Company, Inc. FY 1996 Financial Statement | With July 5, 2006 response to Request for Information |
| 49. | T.L. Diamond & Company, Inc. FY 1997 Financial Statement | With July 5, 2006 response to Request for Information |
| 50. | T.L. Diamond & Company, Inc. FY 1998 Financial Statement | With July 5, 2006 response to Request |

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| | | for Information |
| 51. | T.L. Diamond & Company, Inc. FY 1999 Financial Statement | With July 5, 2006 response to Request for Information |
| 52. | T.L. Diamond & Company, Inc. FY 2000 Financial Statement | With July 5, 2006 response to Request for Information |
| 53. | TL Diamond & Company, Inc. supplemental response to EPA's Request for Information dated May 24, 2006 with personal privacy information | July 12, 2006 |
| 54. | Letter from Dechert LLP updating T.L. Diamond & Company, Inc. financial information | July 31, 2007 |
| 55. | Amended royalty agreement between Zinc Nacional S.A. and T.L. Diamond & Company, Inc. (November 2006) | By letter dated July 31, 2007 |
| 56. | Agreement with plaintiffs in <u>Perrine v. E.I. du Pont de Nemours & Co.</u> & related Court Order (approved by Court May 2007) | By letter dated July 31, 2007 |
| 57. | Letter from Dechert LLP updating T.L. Diamond & Company, Inc. financial information | By letter dated November 9, 2007 |